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Mak, Lawrence; Kuczora, Andrew; Farnworth, Brian; Brown, Rob;
DuCharme, Michel B

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THERMAL PROTECTION AND MICROCLIMATE OF SOLAS APPROVED LIFEBOATS

Lawrence Mak

National Research Council Canada
Institute for Ocean Technology
Arctic Avenue, Box 12093, Station A
St. John's, NL
Canada A1B 3T5

Lawrence.Mak@nrc-cnrc.ca

Andrew Kuczora

National Research Council Canada
Institute for Ocean Technology
Arctic Avenue, Box 12093, Station A
St. John's, NL
Canada A1B 3T5

Andrew.Kuczora@nrc-cnrc.gc.ca

Brian Farnworth

Helly-Hansen Canada Limited
Home office:
2020 Bennett Rd
Kelowna, BC
Canada, V1V 2C1

BFarnworth@helly.ca

Rob Brown

Marine Institute,
Offshore Safety and Survival
Center,
Box 4920
St. John's, NL
Canada A1C 5R3

Robert.Brown@mi.mun.ca

Michel B. DuCharme

System of Systems (SoS)
Defence R&D Canada - Valcartier
2459 Pie-XI Blvd North
Quebec, QC
G3J 1X5

Michel.Ducharme@drdc-rddc.gc.ca

ABSTRACT

Lifeboats are used as an evacuation system on a wide variety of offshore structures and marine vehicles. Currently, International Maritime Organization (IMO) Lifesaving Appliances (LSA) Code does not specify thermal protection and ventilation criteria for lifeboats. A test program was conducted to assess the system thermal protection and microclimate of SOLAS approved lifeboats for the Arctic environment. Some of the research findings of the first phase experiments are reported in this paper.

In conducting experiments with a 72-person SOLAS approved lifeboat, the study found that the lifeboat only had a ventilation rate of 2 litres per second with vents open only, which may not be adequate. Inadequate ventilation will result in high concentration of carbon dioxide, causing headache, dizziness, restlessness, breathing difficulty, sweating, and increased heart rate, cardiac output and blood pressure. All of these may adversely affect lifeboat occupants in performing survival tasks.

Using a thermal manikin, only slight decrease in thermal resistance (less than 10%) was observed in many test cases, when active ventilation was implemented (ventilation rate of 31 and 42 litres per second) and when side hatches were opened (ventilation rate of 95 litres per second). This suggests that reasonable increase in ventilation rate may be implemented without trading off much in thermal protection. However, a more noticeable decreases in thermal resistance (15% to over 30%) were observed when clothing was wet. This suggests it is critical to stay dry. A mathematical model was also developed to assess heat and cold stress of lifeboat occupants under different environment, lifeboat, occupant and ventilation conditions.

INTRODUCTION

In recent years, the amount of sea ice in the Canadian Arctic has declined, opening new opportunities for shipping and offshore activities. Several economic forces, including mining developments, increased Arctic cruise ship tourism, and the

growth of Arctic communities all point towards increased shipping activity in the Arctic.

Recognizing that ships are now traveling to remote locations and search and rescue resources are limited, the IMO Sub-Committee on Radio Communications and Search and Rescue (COMSAR) has proposed to extend the “time to recover” period to 5 days, which is the length of time beginning with the completion of the ship abandonment and ending when all persons have been recovered from survival craft into a 'place of safety' or a 'temporary place of safety' (IMO, 2006). This highlights the importance of survivors to be able to rely on their personal and group survival equipment to sustain themselves for a 5 days period.

Enclosed lifeboats carried on large commercial vessels and partially enclosed lifeboats carried on passenger vessels are designed and constructed to meet the performance goals identified in the LSA Code and related documents. Some of these performance goals address the protection of lifeboat occupants after evacuation. They are reflected in the general requirement that such survival craft “...*provide protection for its complement against wind, rain and spray, adequate ventilation and protection for its complement at all ambient temperatures between -15 °C and +30 °C*”.

Unfortunately, no specific performance criteria are identified to support these general requirements. Therefore, in the testing of survival craft, there is no meaningful assessment of thermal protection or ventilation. The survival challenge is further complicated by unpredictable ventilation performance.

In particular, with a fully closed lifeboat, low ventilation rates quickly result in unacceptable air quality inside the lifeboat but, at higher ventilation rates, the heat lost from the lifeboat can be unacceptable. All survival craft nominally provide some thermal protection and have some means for ventilation but are these levels of protection adequate?

PROJECT OBJECTIVES

The objectives of this project are to assess the thermal protection and microclimate of a SOLAS approved lifeboat in a cruise ship abandonment situation in the Arctic. The results will allow us to establish realistic criteria for lifeboat thermal protection and ventilation performance. This new work extends the methods developed in the thermal protection in liferaft study (Mak et al., 2009) and applies them to investigate the thermal protection and ventilation performance of enclosed and partially enclosed lifeboats.

It is aimed to help Transport Canada address current shortcomings in International Maritime Organization (IMO) regulations where there is a lack of performance criteria in

thermal protection and ventilation for lifeboats, while enhancing the emergency survival, health and safety, and operational performance of lifeboat occupants.

TEST PROGRAM

The test program was divided into two phases with two different lifeboats. First, experiments were conducted using a 72-person SOLAS approved lifeboat for model development. Then a sea trial was conducted using a 20-person lifeboat for model validation. This paper is focused only on the preliminary results of the first phase.

With the 72-person lifeboat, experiments were conducted in an outdoor tank. A wooden shelter was built around the tank to reduce the solar, rain, wind and snow effects on the controlled experiments. Four types of experiments were conducted without the engine running:

- Ventilation rate tests
- Human subject tests to study the microclimate of the lifeboat
- Manikin tests to determine the thermal insulation of occupants with different clothing systems inside the lifeboat
- Tests to determine the heat transfer coefficients of different areas in the lifeboat

The ventilation rate was studied in the following conditions:

- Passive ventilation
 - Closed side hatches, closed vents
 - Closed side hatches, open vents
 - Open side hatches, open vents (This case simulates partially enclosed lifeboats)
- Active ventilation
 - Closed side hatches, open vents, active ventilation.

Active ventilation was implemented to simulate a dedicated system that continuously sucks air out of the passenger cabin, creating a vacuum so fresh air could enter through the vents. It was implemented using a blower that vented cabin air to the outside. A valve was used to adjust how much cabin air was vented to the outside and thus control the ventilation rate.

The reference clothing ensemble include a cotton t-shirt, cotton briefs, cotton socks, one-piece cotton coverall (model Big Bill 414; Codet Inc., Magog, QC). Thermal resistance values were evaluated using the following clothing ensembles:

- Dry reference clothing plus SOLAS life jacket (model MD8000; Mustang Survival Ltd., Richmond, BC)
- Wet reference clothing plus SOLAS life jacket

- Dry reference clothing plus thermal protective aid (TPA) with reflective inner liner and SOLAS life jacket
- Wet reference clothing plus thermal protective aid (TPA) with reflective inner liner and SOLAS life jacket
- Dry reference clothing plus marine abandonment suit
- Wet reference clothing plus marine abandonment suit
- Dry reference clothing plus parka
- Dry reference clothing plus parka and snow pants
- Dry reference clothing plus TPA without reflective inner liner
- Wet reference clothing plus TPA without reflective inner liner
- Wet reference clothing plus wet fleece
- Wet reference clothing plus wet fleece and TPA

Location	Wind Velocity [m/s]		
	Elevation		
	1	2	3
A	2.8	2.8	2.0
B	2.2	2.5	2.3
C	2.0	1.9	1.8
D	3.0	2.8	2.5
E	2.9	2.7	2.4
F	2.7	2.4	2.1

Figure 2. Measured lifeboat wind profile

TEST SETUP

The test setup is shown in Figure 1. The 72-person lifeboat was moored to the sheltered outdoor tank. Four fans blew wind towards the bow of the lifeboat and a pump circulated water around the hull, to reduce boundary layer and to simulate the lifeboat sailing into the wind. The measured wind profile around the lifeboat is shown in Figure 2. It shows that the average wind velocity up to the middle of the lifeboat was around 2.5 meters per second.

Figure 1. Experimental setup of a 72-person SOLAS lifeboat

The 72-person lifeboat was equipped with the following sensors:

- 10 Heat flow sensors, each with two channels to measure heat flux and temperature (Model F-025-TH44033-F6 and F-002-4-TH44033-F20; Concept Engineering, Old Saybrook, CT)
- 11 Temperature sensors (Model ON-401-PP; OMEGA Engineering, inc., Stamford, CT)
- 2 Carbon dioxide sensors, one with a range from 0 to 50,000 ppm¹ (Model T-1047 CO2 Transmitter 4-20mA, Conspec, Toronto, ON) and another with a range from 0 to 10,000 ppm (Model 6123780K, Nova, Hamilton, ON)
- 2 Wind velocity sensors (Model 1405-PK-040; Gill Instruments Ltd., Lymington, Hampshire)
- 1 Humidity sensor (Model HMP50, Vaisala, Helsinki, Finland)

Outside of the lifeboat, the following sensors were installed to record the environmental conditions

¹ parts per million (ppm)

- 1 Air temperature sensor (Model ON-401-PP; OMEGA Engineering, inc., Stamford, CT)
- 2 Water temperature sensors (Model ON-401-PP; OMEGA Engineering, inc., Stamford, CT)
- 1 Humidity sensor (Model HMP50, Vaisala, Helsinki, Finland)
- 1 Wind velocity sensor (Model 1405-PK-040; Gill Instruments Ltd., Lymington, Hampshire)

- Ambient sensors (2 temperature, 1 relative humidity and 1 wind speed)
- Interconnect cabling and air pressure supply hose
- Laptop with ThermDAC control software.

Data were acquired at 1 Hz using GDAC, a data acquisition system designed in-house by National Research Council Canada.

MANIKIN

A Measurement Technology Northwest (Seattle, Washington, USA) NEMO 23-zone submersible thermal manikin was used in this study (Figure 3). Its stature represents a 50th percentile adult North American male, weighting 71 kg. The manikin shell is made of aluminum. Each thermal zone is equipped with heaters to generate uniform heating of the aluminum shell and two precision thermistors to measure skin temperature. The thermal manikin operates on 60Hz AC electrical power, 200-250 VAC with a maximum current of 20 Amps.

Figure 3. NEMO submersible thermal manikin

The main components of the thermal manikin include:

- Thermal manikin with heaters, sensors and internal controllers for regulation and monitoring
- Power supply enclosure which includes the heater power supply, ground isolation meter, serial data converter module, master zone controller, and an air pressure regulator.

The ThermDAC control software is a 32-bit Windows based program that controls, records and displays real-time zone information numerically and graphically. Each thermal zone is individually controlled using either a temperature control, constant heat flux or comfort equation output. For this project, constant temperature control mode was used.

Experiments generated comma delimited (*.CSV) data files suitable for directly importing into Excel or any other Windows-compatible spreadsheet program. The data file contains a header with the data file name, test date, comments entered at test start, setpoint, and logging interval. The data consists of a time stamp, followed by, in order, all zone temperatures, all zone heat fluxes, area weighted average temperature, area weighted heat flux, area weighted thermal resistance, ambient temperature, and relative humidity.

ANALYSIS AND DISCUSSION

Ventilation Rate

Passive ventilation rates were determined by dilution method, with the following equation.

$$Q = \frac{V}{D_t} \ln \frac{C_i}{C_e}$$

where

Q = Ventilation rate into and out of the lifeboat [m³/s]

V = Volume of the lifeboat [m³]

D_t = Elapsed time [s]

C_i = Initial concentration of carbon dioxide in lifeboat [ppm]

C_e = Final reduced concentration of carbon dioxide in lifeboat [ppm]

Active ventilation rate was determined by two methods, with a handheld hot wire anemometer (Omega HHF42) and dilution method. The inside volume of the lifeboat was estimated to be 42.83 m³. The ventilation rate, which was measured using a handheld hot wire anemometer and dilution method, is shown in Table 1.

Table 1. Measured ventilation rates for different conditions in litres per second

Condition	Target ventilation rate for 30 person experiment [l/s]	Hot wire anemometer [l/s]	Dilution method [l/s]
Closed side hatches, close vents			1
Closed side hatches, open vents			2
Open side hatches, open vents			95
High rate active ventilation (for 30 occupants, assuming 1 MET physical activity, safety factor ² = 2). Closed side hatches, open vents.	45	47	42 42 ³
75% of high rate active ventilation (for 30 occupants, assuming 1 MET physical activity, safety factor = 2). Closed side hatches, open vents.	35	35	31

Figure 4 shows a dilution test used to establish the ventilation rate of three passive ventilation conditions – fully sealed lifeboat, lifeboat with only vents open and lifeboat with vents and side hatches open. The data collected is shown in red and the fitted curve is shown in blue. Throughout the test, fans were blowing 2.5 meters per second head wind at the lifeboat.

Figure 4. Carbon dioxide dilution tests to estimate the ventilation rate of three passive ventilation conditions inside a 72-person lifeboat.

Between 0 and 1900 seconds, carbon dioxide was injected into the lifeboat through an open hatch. All other hatches and vents were closed. Initially, the carbon dioxide level rose above the sensor range of 50,000 ppm. When the carbon dioxide level dropped within range of the sensor at around 1900 seconds, the hatch was closed and the lifeboat was completely sealed between 1900 and 3800 seconds. There was very little leakage, with ventilation rate estimated at 1 litre per second. Vents were opened between 3800 and 5200 seconds. The ventilation rate from open vent only was estimated to be 2 litres per second. At 5200 seconds, the side hatches were opened till the end of the test. The ventilation rate with side hatches and vents open was estimated to be 95 litres per second (Table 1).

Assuming lifeboat occupants have 1 Metabolic Equivalent (MET) physical activity (similar to someone sitting down watching TV), each person will consume approximately 3.5 ml/min/kg of oxygen ($VO_{2\text{ resting}}$). If the respiratory exchange ratio, RER, is assumed to be 0.85 (Tikuisis, 1999), the rate of carbon dioxide production for a 75 kg person (50th percentile North American male) can be estimated to be 3.8 milliliters per second carbon dioxide. To keep carbon dioxide concentration below the 5000 ppm safety limit (ACGIH, 2004)⁴, each person needs a ventilation rate of 0.75 litres per second. For a 72-person lifeboat, the minimum ventilation rate required is 54 litres per second, yet the ventilation rate with only vents open is 2 litres per second. The 1 MET metabolic rate is very conservative. It is possible that occupants may be stressed or may have exerted themselves carrying out survival tasks. If lifeboat occupants have 2 MET physical activity, the minimum ventilation rate required is 108 litres per second. This

² Assuming 1 MET physical activity and RER of 0.85, a ventilation rate of 0.75 litres per second is required to keep carbon dioxide concentration below the 5000 ppm safety limit. We used a safety factor of 2 to account for uncertainty with the 1 MET physical activity assumption. That is, 0.75 litres per second x 30 occupants x 2 safety factor = 45 litres per second target ventilation rate.

³ Repeat run

⁴ The American Conference of Governmental Industrial Hygienists (ACGIH) has recommended carbon dioxide a Threshold Limit Value, Time Weighted Average (TLV-TWA) of 5,000 ppm. TLV-TWA (Threshold Limit Value, Time Weighted Average) is the average concentration under which most people can work consistently for eight hours, day in, day out, with no harmful effects. Gas or vapors are expressed in Parts Per Million (ppm).

ventilation rate is similar to that with side hatches open (95 litres per second), which simulates a partially enclosed lifeboat.

To verify that the above ventilation rate estimate is able to keep carbon dioxide concentration to below 5000 ppm, human subject tests under controlled active ventilation condition were conducted. Human subjects were free to add or remove clothing to achieve thermal neutrality, so they do not suffer from cold or heat stress during the experiment. Fans were blowing 2.5 meters per second head wind at the lifeboat. The active ventilation rate was set to 45 litres per second (0.75 litres per second x 30 person x safety factor of 2) based on 30 subjects in the lifeboat. Hatches were closed but vents were opened, so fresh air could enter with the active ventilation system operating.

We confirmed using hot wire anemometer and dilution tests that the ventilation rates achieved were 47.1 litres per second and 42 litres per second respectively (Table 1). On the day of testing, 21 persons entered the lifeboat. During the one-hour experiment, the carbon dioxide concentration increased sharply initially but stabilized at around 3500 ppm (see Figure 5). This demonstrated that the estimation method discussed above was working and that much higher ventilation rate than 2 litres per second from open vents only is required to supply adequate oxygen to the lifeboat occupants.

Figure 5. Carbon dioxide concentration with 21 human subjects in lifeboat

The repeatability of the dilution tests were shown in Figure 6, where the active ventilation rate was tested for closed hatch, open vent condition. The blue line shows the dilution experiment and the red line shows the repeat experiment.

Figure 6. Repeatability of carbon dioxide dilution tests

Thermal Insulation Values

The set point temperature of each zone of the thermal manikin was specified at 25°C. The thermal manikin test was terminated when it reached a steady state, with average surface temperatures of all zones steady within 0.002 °C of the set point temperature and constant average heat flux with standard deviation of less than 1 W/m² for 30 consecutive minutes. The zone thermal resistance was computed using Equation 1 and the overall thermal resistance was calculated using the parallel method in Equations 2. In Table 2, the thermal insulation values measured by NEMO thermal manikin inside the 72-person lifeboat are compiled. Hands and feet were covered with neoprene gloves and boots, and were excluded in Equation 2 when computing thermal resistance values. In most cases, the manikin measurements were very repeatable and thermal resistance values decreased with active ventilation and open hatch cases. The most noticeable decreases in thermal resistance were observed when clothing was wet.

$$R_{ct} = (T_{skin} - T_{amb}) / (Q/A)$$

Equation 1

where

R_{ct} = Zone thermal resistance

T_{skin} = Zone average temperature

T_{amb} = Temperature inside lifeboat

Q/A = Area weighted heat flux

$$R_{wtd} \text{ (parallel)} = 1 / \sum (A_i / (A_{tot} * R_i))$$

Equation 2

where

R_{wtd} = Thermal resistance calculated using the parallel method

R_i = Zone resistance

A_i = Zone surface area

A_{tot} = Total surface area

Table 2. Thermal insulation values measured by NEMO thermal manikin inside lifeboat

	Thermal Resistance [(m ² °C)/W]			
	Passive ventilation, closed hatches, open vents	Active low ventilation, closed hatches, open vents	Active high ventilation, closed hatches, open vents	Passive ventilation, open hatches, open vents
Ventilation rate (litres per second)	2	31	42	95
Clothing Ensembles				
Dry reference clothing plus SOLAS life jacket	0.244 0.232	0.233	0.225	0.226
Wet reference clothing plus SOLAS life jacket	0.155	0.140		0.149
Dry reference clothing plus thermal protective aid (TPA) with reflective inner liner and SOLAS life jacket	0.441	0.429		0.389
Wet reference clothing plus thermal protective aid (TPA) with reflective inner liner and SOLAS life jacket	0.293	0.288		0.275
Dry reference clothing plus marine abandonment suit	0.378	0.385		0.371
Wet reference clothing plus marine abandonment suit	0.319			0.310
Dry reference clothing plus parka	0.385	0.381		0.410
Dry reference clothing plus parka and snow pants	0.525			0.534
Dry reference clothing plus TPA without reflective inner liner	0.345	0.341		0.332
Wet reference clothing plus TPA without reflective inner liner	0.263	0.241		0.256
Wet reference clothing plus wet fleece	0.173			
Wet reference clothing plus wet fleece and TPA	0.305			

Modelling

Using the experimental data collected from the lifeboat, the manikin and the ambient environment, a simple model of heat loss from the lifeboat was constructed to predict the internal air temperature for any condition of ambient environment, number of occupants, clothing systems and engine heat input. This is just a heat balance where the losses through the hull and by ventilation offset the heat input from the engine, the metabolic heat production of the occupants and change in heat energy of the system.

The areas of the various hull surfaces were calculated from measurements with a tape measure. The corresponding heat transfer coefficients were measured by temperature sensors and heat flow sensors placed on the surfaces when electric heaters with known power output heated the boat.

Under these heated conditions, it was found that the interior cabin temperature was raised 20 to 30 °C above ambient temperature whereas the bilge remained within a few degrees of water temperature. There was a variation of a few degrees from place to place within the cabin. Accordingly, the model was developed to take into account the following:

- Heat loss from the cabin through the deck to the bilge
- Heat loss from the cabin to the external air through the hull
- Heat loss from the cabin by ventilation

As a first order approximation, the model simply assumed constant cabin temperature throughout and bilge temperature equal to water temperature. Heat losses from the occupants to the cabin and from the cabin to the environment were treated independently. The largest uncertainties in the model are the heat inputs. It was assumed that each occupant produces about 100W of metabolic heat, which is dissipated into the cabin either from the skin or by respiration. If the engine is running, there will be additional heat input from convective heat transport from the engine surface through openings in the engine housing to the cabin.

The model simply takes the heat balance equation for the cabin air and solves for the cabin air temperature. The procedure was validated against the experiments with a known quantity of electrical heat input, no occupants and no ventilation. The results were:

- Measured external air temperature 10.3 °C
- Measured water temperature 6.0 °C
- Measured electrical heat input 2688 W
- Measured cabin air temperature 31.2 °C
- Predicted cabin air temperature 28.3 °C

Hence, the model may be considered accurate to a few degrees if the heat inputs are known. Using the model, predictions can be made of cold or heat stress of lifeboat occupants under different conditions. Cold stress occurs if the heat loss from the body is greater than the heat production. In the model, thermal neutral condition was taken as a metabolic rate of 100 W and a skin temperature of 30 °C. Heat stress occurs when the heat production is greater than the heat loss. Again, thermal neutral condition was taken as a metabolic rate of 100 W but a skin temperature of 35 °C was used, as this is more reasonable for occupants who are sweating. Clothing was assumed wet from sweat.

Figure 7 shows model prediction for regions of cold or heat stress of occupants, when the lifeboat is half occupied, occupants are wearing dry reference clothing, and metabolic rate is assumed to be 100 W. With a ventilation rate of 27 litres per second (0.75 litres per second per person), occupants wearing dry reference clothing would not experience cold or heat stress between 0 and 10°C. Occupants with wet clothing will begin to experience cold stress below 0°C. Below -10°C, occupants with dry clothing will experience cold stress.

Figure 7. Model prediction of occupant cold or heat stress

CONCLUSIONS AND RECOMMENDATION

1. With vents open only, the 72-person SOLAS approved lifeboat had a ventilation rate of 2 litres per second. With hatches open, simulating a partially enclosed lifeboat, the ventilation rate was 95 litres per second. If lifeboat occupants have 1 MET or 2 MET physical activity, the minimum ventilation rate needed to keep carbon dioxide concentration below 5000 ppm is 54 litres per second and 108 litres per second respectively.
2. Using the thermal manikin to measure thermal resistance, a slight decrease in thermal resistance was observed with passive ventilation and open hatch conditions. The most

noticeable decreases in thermal resistance were observed when clothing was wet.

3. Thermal manikins and mathematical models can be used to assess heat and cold stress of lifeboat occupants under different environmental conditions, number of occupants, clothing systems, metabolic rates, ventilation rates, engine heat output etc., as demonstrated in this study.
4. Thermal protection and ventilation rates are related. If the ventilation rate is too high, thermal protection will be reduced. If the ventilation rate is too low, thermal protection will be increased but occupants may suffer from all the adverse effects of high carbon dioxide concentration. This could adversely affect their ability to carry out survival tasks. This study shows a reasonable compromise.

Recommendations from this study include:

- a. conducting further research to determine metabolic rates of lifeboat occupants after realistic ship abandonment and the optimum ventilation rate for short- and long-term survival ranging from 1 to 5 days,
- b. conducting further research to assess the value of active ventilation,
- c. developing thermal protection and ventilation performance criteria and objective test method for approval testing of lifeboats to enhance occupant safety, and
- d. making operators, regulators and training providers aware that lifeboat occupants could suffer from cold or heat stress as well as high concentration of carbon dioxide, depending on environmental conditions, number of occupants, clothing systems, metabolic rates, ventilation rates, engine heat output etc. Precautions should be taken to prevent exposure of lifeboat occupants to these conditions.

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