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Non-Contact Local and Global Damage Detection with Integrated Ultrasonic Transducers

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ABSTRACT

Miniature and light weight thick piezoelectric films (>40\textmu m) integrated ultrasonic transducers (IUTs) for bulk longitudinal (L) and shear (S) and plate acoustic waves (PAW) propagation are presented. The unique and distinct advantages of these IUTs are that they can be fabricated, using sol-gel based technique, directly onto sample with complex structures including curved surfaces and require no couplant for operation. Using novel mode conversion methods, the L wave generated by IUTs can be converted to S, symmetric, anti-symmetric and shear-horizontal (SH) PAW. The experimental results agreed well with those obtained by a finite difference based method which solves the 3D visco-elastic wave equations. These IUTs can operate at temperatures at least up to 150°C, at center frequencies ranging from 1 to 20 MHz, and provide damage detection range of tens of centimeters in metallic structures. An inductive coupled technique is used to achieve non-contact measurements with these IUTs.

Keywords: Longitudinal and shear waves, plate wave, integrated ultrasonic transducer, high temperature ultrasonic measurement, nondestructive testing, and mode conversion

1. INTRODUCTION

The continued requirement for increased air safety, life extension of aging aircraft fleets, increased aircraft availability and reduced operational costs, suggests the adoption of structural health monitoring (SHM)\textsuperscript{1,2} or real-time nondestructive evaluation (NDE) technologies\textsuperscript{3,4} within maintenance programs by the aerospace industry. The ultimate objective of such technologies is to employ structurally integrated sensors with associated advanced signal processing capabilities to perform local and global in-service component inspection; thus increasing platform availability and reducing associated maintenance costs. In this investigation we present and demonstrate the development of miniaturized light weight thick (>40\textmu m) piezoelectric films integrated ultrasonic transducers (IUTs) made by sol-gel based spray technique for local and global damage detection.

The sol-gel based sensor fabrication process\textsuperscript{5,6} consists of six main steps: (1) preparing high dielectric constant lead-zirconate-titanate (PZT) solution, (2) ball milling of piezoelectric PZT powders to submicron size, (3) sensor spraying using slurries from steps (1) and (2) to produce a layer of ceramic film, (4) heat treatment to produce a solid composite ceramic film, (5) corona poling to obtain piezoelectricity, and (6) electrode painting or spraying for electrical connections. Steps (3) and (4) are used multiple times to produce proper film (IUT) thickness for optimal ultrasonic operating frequencies. Silver paste was used to fabricate top electrodes. Such electrode fabrication approach enables to achieve desired array configurations easily and economically.

The thick PZT film IUT can be directly deposited onto metallic planar and curved surfaces as longitudinal (L) wave UT. Using mode conversion methods\textsuperscript{7,8} the L wave can be used to excite and receive efficiently and simultaneously L and shear (S) waves. The L and S waves can be used for localized damage detection through component thickness. In this

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paper a smart screw concept using L and S waves is introduced. The experimental results will be also compared with those obtained by a finite difference based method which solves the 3D visco-elastic wave equations. The numerical simulation will enhance the ability to evaluate the sensitivity and resolution of defect detection without making many samples and tests.

It is known that plate acoustic waves (PAW)\textsuperscript{9,10} can propagate in a distance of more than tens or hundreds of cm in metal plates. PAWs can be excited and received by bulk wave transducers with a wedge\textsuperscript{3,4} and interdigital transducers\textsuperscript{10}. They are excellent candidates for global damage monitoring of metallic plates. Here IUTs will be deposited at the end edges of metallic plates with proper mode conversion angles and directions to efficiently generate and receive symmetric and shear-horizontal (SH) PAWs for line crack detection demonstration. The experimental results will be compared with those obtained by the 3D finite difference based method.

For SHM and NDE, an induction\textsuperscript{11} based non-contact approached has been developed to generate and receive all the above discussed L, S and PAW to eliminate the need for direct electrical connections. Preliminary results will be presented.

2. IUT as L and S Wave Probe

Various efforts have been devoted to developing L wave high temperature UTs of large bandwidth and high efficiency, which are supplied by several companies. However, it is understood that S waves may be advantageous over L waves for the NDE & characterization of materials, because liquid and gas media do not support S waves. For instance, the higher sensitivity to detect cracks or gaps filled with liquids could be expected using S waves than L waves in a pulse/echo technique since reflection coefficients of S waves at solid/liquid interfaces are unity, namely total reflection, while that of L waves are less than unity. Also, for the evaluation of material properties, it is sometimes important to measure shear modulus and viscoelastic properties\textsuperscript{3,4} for which knowledge of S wave properties is a prerequisite. Furthermore, an ultrasonic probe which can generate and receive both L and S waves simultaneously at the same probe location is also desirable.

2.1. Comparison Between Theoretical Calculations and Experimental Results

The mode conversion from L waves to S waves by reflection at a solid/air interface was reported using an IUT\textsuperscript{7,8}. It has been demonstrated that IUTs can be used to excite and detect both L and S waves simultaneously using mode conversion and reflection. Firstly a 100µm thick piezoelectric PZT composite film as IUT was deposited onto a mild steel block as shown in Fig.1. This mild steel has a L wave velocity of \( V_l = 5900 \) m/s and a S wave velocity of \( V_s = 3200 \) m/s at room temperature. The calculated energy reflection coefficients at solid (mild steel)/air interface\textsuperscript{7,8} indicates that the maximum energy conversion rate from the incident L wave to the reflected S wave is 97.5% at \( \theta = 67.2^\circ \), and the reduction of the energy conversion rate is within 1% in the range of \( \theta \) between 60.8 and 72.9\(^\circ\). In this investigation a unique approach was taken to fabricate the L wave IUT in a plane parallel to the propagation direction of the mode converted S waves as shown in Figs.1a and 1b, which show a schematic and an actual probe, respectively. By considering this criterion, \( \theta \) is 61.5\(^\circ\) and the reduction of energy conversion rate at this angle is only 0.8% smaller than the maximum conversion rate at \( \theta = 67.2^\circ \). This approach could reduce the machining time of the probe and IUT fabrication difficulty.

![Fig. 1. A probe which can generate both L and S waves simultaneously with an IUT located in a plane parallel to the direction of mode converted S wave where \( \theta = 61.5^\circ \). (a) Schematic diagram and (b) actual probe.](image_url)
For L wave simply a 45° reflecting surface as shown in Fig.1 is used. In Fig.1a the part of the IUT is lower than the dividing line between the plane with $\theta$ is 61.5° and that of 45° is used for the generation and receiving of L waves. Therefore for such probe configuration the area size above or below the dividing line provides a flexibility to adjust the amplitude ratio between the L and S waves.

Fig.2a shows the measured ultrasonic signal at room temperature in time domain. The center frequencies and 6 dB bandwidth of the L and S waves are 7.8 and 8.1 MHz and 6.0 and 6.2 MHz, respectively. The signals to noise ratios (SNRs) of the L and S waves are above 20 dB. The numerically simulated result in time domain using commercial available software package (Wave3000 Pro, CyberLogic Inc., New York, NY) based on a finite difference method which solves the 3D visco-elastic wave equations is given in Fig.2b. Comparing Figs.2a and 2b a good agreement between the experimental obtained and numerically calculated signals in signal bandwidth and time delay has been achieved for the L wave. For S wave the bandwidth and arrival time of the numerically calculated signal are narrower and longer, respectively, than the experimental one. It is speculated that the input parameters such as the bandwidth and shape of the excitation pulse and IUT, and the grid size used in the finite difference method of which all may increase the computation time significantly do affect the simulation results.

2.2. Smart Screws

Structural parts which are held together by screws (bolts, rivets or fasteners) under tensile stress must be designed and assembled so that these screws are sufficiently loaded to prevent the parts from separating while the structure is in service. Therefore a reliable measurement of the axial load or preload in such screws is essential to secure structural safety and a precise control of the fastening force is required. Several ultrasonic methods have been studied. The most promising ones are measuring the time delays of both L and S wave along the screw direction. In the most recent paper the use of L and S waves allowed the elimination of time-of-flight measurement of both waves in the unstressed state. Thus the tightening tension can be evaluated without loosening the assemblies bolted. All the previous works using conventional UT which needs ultrasonic couplants and cannot be operated at elevated temperatures. In this study, IUT will be used to excite and receive both L and S waves propagating along the screw axial direction in an aluminum alloy (Al) screw using the mode conversion method mentioned above and operated up to 150°C without any couplant. Figs.3a and 3b show the schematic and this Al screw, respectively.

This Al screw used has a L wave velocity of $V_l = 6420$ m/s and a S wave velocity of $V_s = 3007$ m/s at room temperature. The calculated energy reflection coefficients at solid (mild steel)/air interface provides that the maximum energy conversion rate from the incident L wave to the reflected S wave is 73.1% at $\theta = 63.3^\circ$, and the reduction of the energy conversion rate is 1% when $\theta = 64.9^\circ$. Figs.4a and 4b show the measured ultrasonic signal at room temperature and 150°C, respectively, in time domain. $L^n$ and $S^n$ are the nth round trip L and S echoes, respectively, from IUT to the end of the screw. The center frequencies and 6 dB bandwidth of the measured $L^1$ and $S^1$ waves are 15.4 and 12.0 MHz and 12.3 and 8.5 MHz, respectively at room temperature and 150°C. In Figs.4a and 4b the SNR of the L and S waves are above 11.2 dB and 11.5 dB, respectively. The SNRs in Fig.4a is lower than that in Fig.2a is due to the small diameter and thread of this Al screw.
Fig. 3. An Al screw which can generate both L and S waves simultaneously with an IUT located in a plane parallel to the direction of mode converted S wave where \( \theta = 64.9^\circ \). (a) Schematic diagram and (b) actual probe.

Fig. 4. Measured ultrasonic signal in the Al screw shown in Fig.3b in time domain at (a) room temperature and (c) 150°C.

3. IUT as PAW Probes

IUTs have been directly deposited onto the edges of Al plates of 6mm thick to excite and detect the symmetric, anti-symmetric and SH PAWs and operated up to 150°C\textsuperscript{16}. It is noted that IUT using bismuth titanium ceramics can operate up to 500°C\textsuperscript{17}. In this study Al plates of 2 mm thick are used. For demonstration purposes only the experimental and numerical calculation results for symmetric and SH PAW will be demonstrated. Line defect detection illustration will be given in the next section.

3.1. Symmetric PAW

Fig.5 shows a schematic diagram of an IUT deposited at the end edge of a 2 mm thick Al plate with a length of 406.4mm and a width of 50.8 mm. The thickness of the IUT was 88 µm. The top rectangular electrode has a length of 46 mm and width of 1.2 mm, which define the IUT active area. This IUT generates and receive particle displacements predominantly oriented along the length direction of the plate. Due to the thin thickness of this plate symmetric PAW is mainly generated and detected. Figs.6a and 6b show the numerically calculated and measured symmetric PAW signal in time domain. For symmetric PAW the arrival time of the numerically calculated signal is longer, than the experimental one. Again it is speculated that the input parameters such as the bandwidth and shape of the excitation pulse and IUT, and the grid size used in the finite difference method of which all may increase the computation time significantly do affect the simulation results.

Fig.7 shows the theoretical calculated phase and group velocities versus the product of PAW frequency, \( f \), and plate thickness, \( h \), curves for the first few symmetrical (S) and anti-symmetrical (a) PAW modes of the Al plate shown in Fig.5. Since the group velocities of the first several symmetrical PAW modes vary widely in the range of IUT excitation frequency with PAW particle displacements predominantly oriented along the length direction and 2mm plate thickness product, the pulse width of the \( S_{L,1} \) is wider than that of the SH PAW to be shown later. \( S_{L,1} \) is the first round trip echo from the IUT to the end of the plate as shown in Fig.5.
Fig. 5. Schematic diagram of an IUT coated directly onto the end edge of a 2 mm thick Al plate to generate and receive symmetric PAW.

Fig. 6. (a) Measured and (b) numerically calculated symmetric PAW signal in time domain at room temperature in the Al plate as shown in Fig.5.

Fig. 7. Theoretical calculated phase and group velocities versus the product of PAW frequency, $f$, and plate thickness, $h$, curves for the first few symmetrical (S) and anti-symmetrical (a) PAW modes of the Al plate shown in Fig.5.

3.2. SH PAW

Fig. 8 shows an IUT deposited at the side edge near the end of a 2 mm thick Al plate with a length of 406.4 mm and a width of 50.8 mm. Using mode conversion SH PAW can be excited and received\cite{16}. The thickness of the IUT was 90 $\mu$m. The top rectangular electrode has a length of 20 mm and width of 1.6 mm, which define the IUT active area. For this configuration symmetrical PAW echo traveled nearly 25.4 mm and then converted to shear horizontal PAW modes and vice versa. For this configuration the chosen mode conversion angle using the analogy of L to S wave was $61.7^\circ$ which was calculated using the phase matching between measured extension mode velocity\cite{9,10} and the S wave velocity of the Al plate.
Fig. 8. Schematic diagram of an IUT coated directly onto the side edge of a 2 mm thick Al plate to generate and receive SH PAW. Figs. 9a and 9b show the numerically calculated and measured SH PAW signal in time domain. It can be observed that again the bandwidth and arrival time of the numerically calculated SH PAW signal are narrower and longer, respectively, than the experimental one. We will investigate the effects of the input parameters such as the bandwidth and shape of the excitation pulse and IUT, and the grid size used in the finite difference method.

Fig. 9. (a) Measured and numerically calculated SH PAW signal in time domain at room temperature in the Al plate as shown in Fig. 8.

Fig. 10 shows the theoretical calculated phase and group velocities versus the product of PAW frequency and plate thickness curves for the first few SH PAW modes of the Al plate shown in Fig. 8. Since the group velocities of the first several SH PAW modes are close to the S wave velocity of the Al plate in the range of IUT excitation frequency with the PAW particle displacement predominately oriented along the width direction of the plate and 2 mm plate thickness product, the pulse width of the $S_{H,1}$ is narrower than that of the symmetric PAW $S_{L,1}$ signal shown in Fig. 6a. $S_{H,1}$ is the first round trip echo from the IUT to the end of the plate as shown in Fig. 8.

Fig. 10. Theoretical calculated phase and group velocities versus the product of PAW frequency, $f$, and plate thickness, $h$, curves for the first few SH PAW modes of the Al plate shown in Fig. 8.
4. Non-Contact Detection of Cracks

In order to achieve fast NDE and NDE of rotating components non-contact ultrasonic measurements approaches are desired. Here an induction based method\textsuperscript{11} is used.

4.1. L Wave

L wave IUTs have been reported to be used for NDT and real-time non-invasive industrial process diagnostic tool\textsuperscript{5,6}. Fig.11 shows an IUT deposited onto a 12.7 mm thick steel plate and measured by a commercial handheld pulser/receiver. The diameter of the top electrode of this IUT is 5mm. \(L_n\) is the \(n\)th round trip L echo through the plate thickness. In this measurement only 5 dB out of the available 100 dB gain was used and it indicates that this L wave IUT is very efficient. Such IUT is then used for the non-contact measurement and a schematic diagram is shown in Fig.12. First a flat coil made of thin lacquer wire is connected between the top electrode of the IUT and the steel substrate which serves as the bottom electrode of the IUT. Directly on top of such coil connected to the IUT is the other coil surrounding a ferrite and this coil is connected to the pulser/receiver. Our preliminary results indicated that comparing with the direct contact configuration as shown in Fig.11 the additional gains required for the non-contact configuration were 15 dB, 19 dB and 29 dB, respectively for the gap distance of 1mm, 3mm and 5mm. The signal quality such as SNR and bandwidth changes only a little between these two configurations.

![Fig.11. An IUT deposited onto a 12.7 mm thick steel plate measured by a contact configuration using a commercial handheld pulser/receiver.](image)

![Fig.12 A schematic diagram of a non-contact ultrasonic measurement configuration.](image)

4.2. Symmetrical PAW

In order to demonstrate the global NDT and non-contact capability of the symmetric PAW two artificial line defects, D1 and D2 with 1mm depth and 1mm width were made onto the Al plate shown in Fig.5. D1 and D2 as shown in Fig.13 had a width of 25.4 mm and 50.8 mm, respectively. At room temperature the measured symmetric PAW signals in the Al plate using contact and non-contact configurations are shown in Figs.14a and 14b, respectively. The receiver gain used was 26 dB higher in non-contact than contact configuration. Figs.14a and 14b cleanly confirm that symmetric PAW can be used to perform NDT of defects in long distance. In the present case the defects were 146.3 mm and 223.5 mm away from the IUT and this IUT can operate at 150°C.

![Fig.13. Two artificial line defects, D1 and D2 were made onto a 2mm thick Al plate for the demonstration of global NDT capability of symmetric PAW.](image)
Fig.14. Ultrasonic symmetric PAW signal in time domain obtained using an IUT shown in Fig.13 with the (a) contact and (b) non-contact configuration at room temperature.

4.3. SH PAW

Two artificial line defects, D1 and D2 with 0.95 mm depth and 1 mm width were made onto the Al plate shown in Fig.15 for the demonstration of the ability of SH PAW to detect such defects in a long distance. D1 and D2 had width of 25.4 mm and 50.8 mm, respectively. At room temperature the measured SH PAW signals in the Al plate using contact and non-contact configurations are shown in Figs.16a and 16b, respectively. The receiver gain used was 24 dB higher in non-contact than contact configuration. Figs.16a and 16b cleanly confirm that SH PAW can be used to perform NDT of defects in a distance of about 223.5 mm away from the IUT. This IUT can operate also at 150°C.

Fig.15. Two artificial line defects, D1 and D2 were made onto a 2mm thick Al plate for the demonstration of global NDT capability of SH PAW.

Fig.16. Ultrasonic SH PAW signal in time domain obtained using an IUT shown in Fig.15 with the (a) contact and (b) non-contact configuration at room temperature.
5. Conclusions

Miniature and lightweight thick piezoelectric films (>40µm) IUTs have been developed to generate and detect L and S and PAW propagation for local and global (propagation distance up to more than 0.8 m) NDT applications. The unique and distinct advantages of these IUTs are that they can be fabricated, using sol-gel based technique, directly onto desired UT locations and require no couplant for operation. In this investigation, using mode conversion methods, the L wave generated by IUTs can be converted to S, symmetric and SH PAW. The experimental results agreed well with those obtained by a finite difference based method which solves the 3D visco-elastic wave equations. These IUTs can operate at temperatures at least up to 150°C, at center frequencies ranging from 1 to 20 MHz. An inductive coupled technique is used to achieve non-contact measurements with these IUTs. In 2 mm thick Al plates line defects of ~1mm depth and 1mm width were clearly detected at room temperature using contact and non-contact techniques. The waveform of SH PAW is fairly short comparing to that of symmetrical PAW. In the other studies[17] IUTs operated up to 700°C have been demonstrated.

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