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Fatty Acid Esters of Azaspiracids Identified in Mussels (Mytilus edulis) using Liquid Chromatography-High Resolution Mass Spectrometry

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1 Fatty Acid Esters of Azaspiracids Identified in Mussels (Mytilus edulis) using Liquid

## 2 Chromatography-High Resolution Mass Spectrometry

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- 11

## 1 ABSTRACT

2 Azaspiracids (AZAs) are lipophilic polyether toxins produced by Azadinium and Amphidoma 3 species of marine microalgae. The main dinoflagellate precursors AZA1 and AZA2 are 4 metabolized by shellfish to produce an array of AZA analogues. Many marine toxins undergo 5 fatty acid esterification in shellfish, therefore mussel tissues contaminated with AZAs were 6 screened for intact fatty acid esters of AZAs using liquid chromatography-high resolution mass 7 spectrometry. Acyl esters were primarily observed for AZAs containing hydroxy groups at C-3 8 with 3-O-palmitoyIAZA4 identified as the most abundant acyl ester, while other fatty acid esters 9 including 18:1, 16:1, 17:0, 20:2 and 18:0 acyl esters were detected.. The structures of these acyl 10 derivatives were determined through LC-MS/MS experiments, and supported by periodate 11 cleavage reactions and semi-synthesis of palmitate esters of the AZAs. Esters of the hydroxy 12 groups at C-20 or C-21 were not observed in mussel tissue. The relative proportion of the most 13 abundant AZA ester was less than 3% of the sum of the major free AZA analogues in the hepatopancreas tissue. These findings reveal an additional metabolic pathway for AZAs in 14 15 shellfish.

16 Keywords: azaspiracid, fatty acid, ester, LC-HRMS, shellfish, metabolism, mussel

## 1 **1. INTRODUCTION**

2 Since the first azaspiracid (AZA) poisoning event in 1995 from mussels (Mytilus edulis) 3 harvested at Killary Harbour, Ireland, over 60 AZA analogs have been detected in shellfish and 4 in Amphidoma and Azadinium spp. (Krock et al., 2019; Twiner et al., 2008). Azaspiracids have 5 become a global concern, with Azadinium spp. and AZA-contaminated shellfish being detected 6 in Europe, South America, North America, Australasia and Asia (Kim et al., 2017; Smith et al., 7 2016; Tillmann et al., 2016; Tillmann et al., 2009; Tillmann et al., 2017). AZA structures are 8 characterized by three main functional groups: a cyclic amine, a tri-spiro assembly and a 9 carboxylic acid. Two of the regulated analogues, AZA1 and -2, are produced by A. spinosum, 10 while many others are shellfish metabolites and products from oxidation, hydroxylation, 11 decarboxylation and dehydration (Figure 1) (Hess et al., 2014; Kilcoyne et al., 2015a; Kilcoyne et 12 al., 2018; McCarron et al., 2009; Tillmann et al., 2009).

R <sub>2</sub> 8 A H	/			R <sub>3</sub> H 20 H OH	"
AZA	$R_1$	R <sub>2</sub>	$R_3$	$R_4$	[M+H] <sup>+</sup> <i>m/z</i>
AZA1	Н	Н	$CH_3$	н	842.5049
AZA2	н	$CH_3$	$CH_3$	н	856.5206
AZA3	н	н	н	н	828.4893
AZA4	ОН	н	н	н	844.4842
AZA5	н	н	н	ОН	844.4842
AZA6	н	CH₃	н	н	842.5049
AZA7	ОН	н	$CH_3$	н	858.4998
AZA8	н	н	$CH_3$	ОН	858.4998
AZA9	ОН	CH₃	Н	Н	858.4998
AZA10	н	CH <sub>3</sub>	Н	ОН	858.4998
AZA11	ОН	CH₃	CH₃	н	872.5155
AZA12	н	CH₃	CH₃	ОН	872.5155
AZA13	ОН	н	н	ОН	860.4791
AZA14	ОН	Н	CH₃	ОН	874.4947
AZA15	ОН	CH₃	н	ОН	874.4947
AZA16	ОН	$CH_3$	$CH_3$	ОН	888.5104

1

2

Figure 1. Structures of AZA1–16 and their protonated exact masses.

3 These lipophilic toxins accumulate in shellfish tissues and in addition to the mechanisms 4 described above have the potential to be metabolized similarly to other lipophilic toxin classes. 5 The documentation of fatty acid acyl esters of okadaic acid, dinophysistoxins, pectenotoxins, 6 brevetoxins, spirolides, pinnatoxins and gymnodimines highlights the potential for acylation of 7 hydroxy groups to form esters and higher toxicity than indicated by the analysis of free toxins 8 (Aasen et al., 2006; de la Iglesia et al., 2013; McCarron et al., 2012; Morohashi et al., 1995; 9 Torgersen et al., 2008; Wilkins et al., 2006). The majority of AZAs in shellfish, especially those 10 metabolized from AZA1 or -2, contain C-20 and C-21 hydroxy groups, while hydroxy groups can 1 also be present at C-3 and C-23 (Figure 1). The presence of these hydroxy groups presents the potential for acyl ester formation of AZAs in shellfish. The regulatory limit of 160 µg/kg AZA1 2 3 eq. set by the European Commission applies to free AZA1-3, which were the first AZAs identified in shellfish, while other analogues are generally observed at lower concentrations 4 5 (European Commission, 2011; Hess et al., 2014). To date, in vitro potencies are reported as 6 AZA2 > AZA6 > AZA34 ≈ 37-epi-AZA1 > AZA8 ≈ AZA3 > AZA1 > AZA4 ≈ AZA9 > AZA5 ≈ AZA10 > AZA33 > AZA26 (Kilcoyne et al., 2014a; Kilcoyne et al., 2018; Kilcoyne et al., 2014b; Kilcoyne et al., 7 8 al., 2015b; Krock et al., 2015; Twiner et al., 2012).

9 AZA fatty acid esters were not detected in previous studies of mussel tissues (Rehmann 10 et al., 2008). However, synthetic acylation reactions have shown that AZA1 can undergo fatty 11 acid esterification (de la Iglesia et al., 2014). We herein report the use of liquid 12 chromatography-high resolution mass spectrometry (LC-HRMS) for the detection of AZA fatty 13 acid esters in mussel (*M. edulis*) tissues. The backbone AZA structures of these esters were 14 verified based on product ion spectra, periodate cleavage experiments, and through 15 comparison to semi-synthetic AZA acyl esters.

16

#### 17 2. MATERIALS AND METHODS

#### 18 2.1. Chemicals and Reagents

LC-MS grade acetonitrile, methanol, ethanol, formic acid (~98 %) and reagent grade ethyl acetate and hexane were from Fisher Scientific (Ottawa, ON, Canada). MS grade ammonium formate (>99%), HPLC grade pyridine (99.9%), reagent grade sodium periodate (>99%), 4-dimethylaminopyridine (DMAP, >98%), palmitic anhydride (99%) and sodium chloride

1	were from Millipore-Sigma (Oakville, ON, Canada). Distilled water was ultra-purified to 18.2
2	M $\Omega\cdot$ cm using a Milli-Q water purification system (Millipore-Sigma, Billerica, MA, USA).
3	AZA1 calibration solution and mussel (M. edulis) matrix certified reference materials
4	(CRM-AZA1b, CRM-AZA-Mus and CRM-FDMT1) were from the National Research Council
5	Canada (Halifax, NS, Canada). In-house reference materials for AZA4, -7 and -9 were prepared
6	as described previously (Kilcoyne et al., 2015b). Mussel (M. edulis) tissues contaminated with
7	AZAs provided by the Marine Institute, Ireland, were collected from the following locations:
8	Killary Harbour, 1995; Bruckless, Donegal Bay, Sept. 2005; and Gouladoo, Bantry Bay, 2008.
9	2.2. Sample Preparation
10	The hepatopancreas (HP) tissue of mussels containing AZAs from Bruckless was
11	dissected from a subset of the mussels, homogenized and stored at –20 °C. Tissues from Killary
12	Harbour and Gouladoo were homogenized whole mussel tissues stored at –20 °C prior to
13	analysis.
14	Homogenized tissue (2 g) was extracted with MeOH (4 mL) by vortex mixing for 1 min
15	and centrifuged at 3950 $g$ for 5 min. The supernatant was decanted into a 10 mL volumetric
16	flask, the pellet was re-extracted with an additional 4 mL of MeOH, centrifuged as above, and
17	the supernatants were combined in the volumetric flask and made to volume with MeOH. An
18	aliquot of the extract was filtered (0.2 $\mu$ m Teflon spin-filter; Millipore-Sigma) prior to analysis.
19	2.3. AZA Ester Fraction Preparation
20	Dissected HP (150 g) from Bruckless mussels was freeze-dried to yield 40 g of dry tissue,
21	which was extracted with EtOH (3 $ imes$ 100 mL) in a Waring blender. The extract was filtered
22	(Whatman no. 5 filter paper) and evaporated under vacuum at 35 °C. The residue was

1 partitioned between EtOAc (150 mL) and 1.0 M NaCl (100 mL). The fractions from each step of 2 the procedure were analyzed by LC-MS to verify the presence of AZAs and AZA-esters. The 3 EtOAc fraction was evaporated under vacuum and the residue partitioned between hexane (50 4 mL) and 90% MeOH (50 mL). The methanolic fraction was evaporated under vacuum. The 5 residue was applied to an open silica gel column (10 cm × 5.0 cm i.d.) packed with 10-6 40 µm silica gel (Millipore-Sigma) and sequentially eluted with hexane-EtOAc (9:1), EtOAc-7 MeOH (7:3 and 1:1), and MeOH (250 mL each). The 7:3 and 1:1 EtOAc-MeOH fractions were 8 evaporated under vacuum separately, and dissolved in MeOH (10 mL) for analysis.

#### 9 2.4. LC-HRMS

Analyses were performed on an Agilent 1200 LC equipped with a binary pump, 10 11 temperature controlled autosampler and column compartment coupled to a Q Exactive HF 12 Orbitrap mass spectrometer (Thermo Fischer Scientific, Waltham, MA, USA) with a heated 13 electrospray ionization probe (HESI-II). The chromatographic separation used a C8 column (100  $\times$  2.1, 1.9  $\mu$ m Thermo Hypersil Gold; Thermo Fischer Scientific, Waltham, MA, USA) with 14 gradient elution. The mobile phase was water (A) and 95% MeCN (B), both containing 50 mM 15 16 formic acid and 2 mM ammonium formate. The elution gradient (0.25 mL/min) was: 0-5 min, 17 50-100% B; 5–20 min, 100% B; 20–20.1 min, 100–50% B; and 5 min re-equilibration at 50% B. 18 The column and sample compartments were maintained at 20 °C and 10 °C, respectively. The injection volume was 3  $\mu$ L. The MS was calibrated from m/z 74–1622 according to the 19 20 manufacturer's specification using the positive Pierce LTQ Velos calibration solution (Thermo-Fisher Scientific). Full scan data were collected from m/z 650–1200 using positive ionization 21 22 with a spray voltage of 3.0 kV. The sheath gas pressure was 35 psi and auxiliary gas flow was 10

(arbitrary units). The capillary temperature was 350 °C and the heater temperature was 300 °C.
 The MS resolution setting was 60 000 with an AGC target of 1 × 10<sup>6</sup> and a maximum injection
 time of 200 ms.

Data-independent acquisition (DIA) was used to screen for AZA-esters. Fifteen mass windows of 39 Da spanned the range from m/z 650–1200 with stepped collision energies of 35 and 65 eV to collect product ion spectra from all ions within each window (Table S1). The MS resolution was set at 15 000 with an AGC target of 2 × 10<sup>5</sup> and a maximum injection time of 50 ms with a loop count of 8.

9 Data-dependent acquisition (DDA) was used to collect MS/MS product ion scans of the 10 five most abundant ions in the full scan acquisition at each cycle, with an inclusion list for 11 candidate AZA-esters from the DIA acquisition. The mass range for full scan acquisition was m/z12 950–1200 with a resolution setting of 60 000, an AGC target of  $1 \times 10^6$  and maximum injection 13 time of 100 ms. Product ion scans were acquired with an isolation window of 1 m/z with 14 stepped collision energies of 35 and 65 eV. The resolution was set to 30 000 with an AGC target 15 of  $1 \times 10^5$  and a maximum injection time of 50 ms.

## 16 2.5. Semi-synthesis of PalmitoylAZAs

Palmitic acid (16:0) esters of AZA1, -4, -7 and -9 were prepared using a procedure
modified from previous applications to other algal toxins (Aasen et al., 2006; McCarron et al.,
2012). All glassware used was dried in a desiccator overnight prior to sample preparation.
Approximately 100 pmol of the individual AZA in MeOH was evaporated under nitrogen gas and
placed in a desiccator for 4 h to remove residual water. The residue was dissolved in 100 µL of
dry pyridine containing 30 mM palmitic anhydride and 100 mM DMAP. The solution was mixed

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at room temperature and allowed to stand for 30 min. The pyridine was dried under nitrogen
 and reconstituted in 100 μL of MeOH prior to LC-HRMS analysis.

3 **2** 

## 2.6. Treatment with Sodium Periodate

An aliquot (20 μL) of the semi-synthetic 3-*O*-palmitoylAZA4 in MeOH (20 μL) was mixed
with sodium periodate (50 mM, 2 μL) in an HPLC vial insert. An aliquot (20 μL) of the Bruckless
HP extract was mixed with 50 mM sodium periodate (2 μL) in another HPLC vial insert. These
solutions were vortex-mixed for 30 s and analyzed within 2 h by LC-HRMS.

8

### 9 3. RESULTS AND DISCUSSION

### 10 **3.1. Detection of AZA fatty acid esters**

11 Mussels collected from Bruckless, Ireland, in 2005 have previously been used for the 12 isolation of AZA analogues, and for the production of reference materials (Hess et al., 2007; 13 Kilcoyne et al., 2015a; Kilcoyne et al., 2015b; McCarron et al., 2015). Given that AZAs are sensitive to the base hydrolysis conditions typically used for indirect analysis of fatty acid esters 14 15 (Alfonso et al., 2008), the Bruckless mussels were evaluated for intact fatty acid esters. To assist in the detection of potentially low levels of AZA esters, HP tissues were analysed initially to 16 17 provide a more highly concentrated sample for LC-HRMS analysis. After elution of known AZAs, 18 the chromatographic separation was held at a high percentage organic mobile phase for 15 min 19 to elute non-polar compounds. The samples were screened by LC-HRMS for AZA fatty acid 20 esters using a DIA method which generated product ion scans from all ions within mass windows of width m/z 39, from m/z 650 to 1200, to determine the approximate mass range 21 22 and potential precursor ions responsible for product ions characteristic of AZAs. The MS/MS

1 fragmentation of AZAs has previously been evaluated in detail, where many AZA analogues 2 have the same structural configuration towards the amino terminus, with characteristic product ions of m/z 362.2690 and 168.1383 resulting from fragmentation of the E and I rings, 3 respectively (Figure 1) (Rehmann et al., 2008). Given that there are no available hydroxy groups 4 5 for known AZAs on this part of the molecule (C-24 to C-40), putative AZA fatty acid esters 6 should also fragment to give these product ions. These two diagnostic ions were extracted from the DIA acquisition with a mass error of 5 ppm, which confirmed the known AZA analogues 7 8 eluting in the first 7 min, while several later-eluting peaks also produced these characteristic 9 AZA product ions (Figure 2). These product ions from the later-eluting peaks were observed in the windows ranging from m/z 1052 to 1165. 10

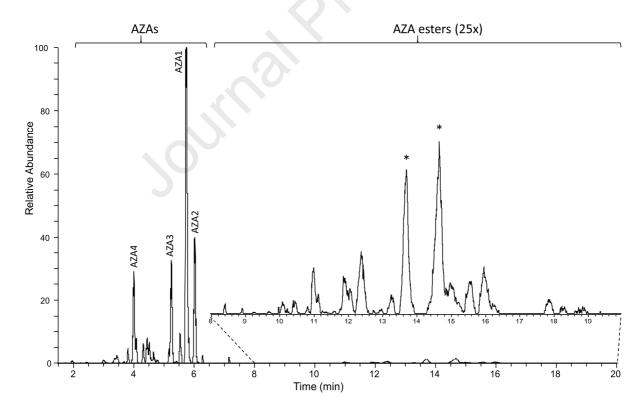
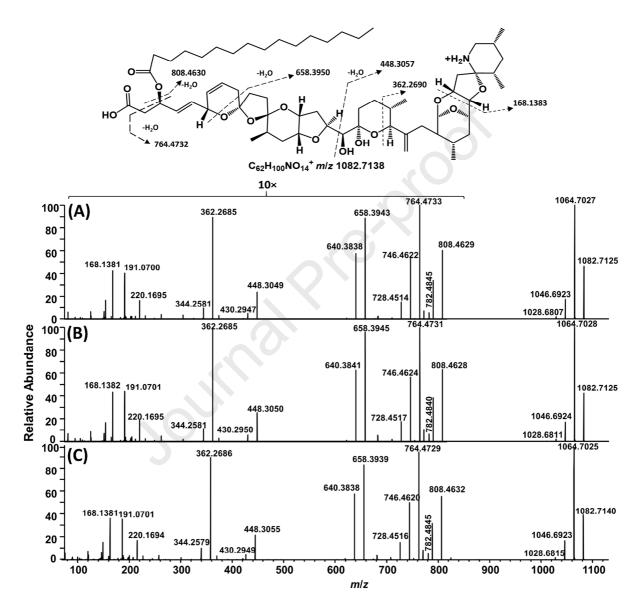


Figure 2. Sum of the extracted AZA diagnostic product ions at m/z 362.2690 and 168.1381 using a 5 ppm mass tolerance from the mass range of m/z 650 to 1200 collected using DIA acquisition of the HP extract of mussels collected from Bruckless. (\*) 3-*O*-palmitoyIAZA4 peaks.

1

2 To facilitate MS characterisation of these low-level AZA metabolites, an extract of the Bruckless mussel HP was fractionated and concentrated using silica gel chromatography 3 4 (Kilcoyne et al., 2012). These fractions were used in targeted MS/MS experiments. The two 5 most prominent peaks giving the diagnostic AZA product ions, eluting at 13.7 and 14.7 min 6 (Figure 2), had a pseudomolecular ion  $[M+H]^+$  of m/z 1082.7145, consistent with  $C_{62}H_{100}O_{14}N^+$ 7 ( $\Delta$  0.6 ppm). These two peaks had identical product ion spectra (Figure 3a,b). As is typical for AZAs, there was a series of water losses from the pseudomolecular ion. The m/z 808.4629 8 9 product ion ( $C_{46}H_{66}O_{11}N^{+}$ ,  $\Delta$  –0.2 ppm) is consistent with neutral loss of palmitic acid 10 (hexadecanoic) and one molecule of water, suggesting the originally metabolized AZA would 11 have a  $[M+H]^+$  of m/z 844.4842 ( $C_{46}H_{70}O_{13}N^+$ ) prior to forming a palmitate ester (16:0). The 12 product ion at m/z 782.4845 (C<sub>45</sub>H<sub>68</sub>O<sub>10</sub>N<sup>+</sup>,  $\Delta$  0.9 ppm) is consistent with neutral loss of the fatty acid together with elimination of C-1 as CO<sub>2</sub>. Two ions that provided additional structural 13 information on the AZA backbone were m/z 658.3943 (C<sub>37</sub>H<sub>56</sub>O<sub>9</sub>N<sup>+</sup>,  $\Delta$  –1.0 ppm) arising from 14 retro-Diels–Alder cleavage of the A-ring plus loss of water, and m/z 448.3049 (C<sub>26</sub>H<sub>42</sub>O<sub>5</sub>N<sup>+</sup>,  $\Delta$ 15 16 -1.9 ppm) from cleavage of C-19–C-20 with loss of water (Figure 4). These product ions, in 17 addition to the m/z 808.4630 ion, are characteristic of AZA4 (Rehmann et al., 2008), and 18 strongly suggest that the two peaks arose from 3-O-palmitoyIAZA4. The identical fragmentation 19 patterns of these two peaks suggests that the location of acylation was the same, in addition to the backbone structure of this compound. The presence of two peaks suggests a variation in 20 21 the fatty acid chain (e.g. branching) or epimerization in the AZA backbone that is not

- distinguishable by mass spectrometry, as has been observed in other fatty acid esters
   (McCarron et al., 2012; Torgersen et al., 2008).
- 3



**Figure 3.** Extracted product ion spectra of (a,b) the two most abundant AZA-ester peaks using DDA acquisition in the fractionated HP of mussels collected in Bruckless. Both peaks arise from precursor ions at m/z 1082.7138 and the product ions are consistent with semi-synthetic 3-*O*palmityolAZA4 (c) with a scheme showing the proposed origins of the major diagnostic ions with exact masses. The vertical scale from m/z 100–950 was expanded 10-fold to highlight diagnostic ions.

1	The 3-O-palmitoyIAZA4 was synthesized by acylation of AZA4 with palmitic anhydride.
2	The acylation reaction was performed at room temperature where AZA4 reacted readily with
3	palmitic anhydride. The AZA4 peak area was reduced by 80% after 30 min, producing two main
4	products. The first product had an $[M+H]^+$ at $m/z$ 826.4743 ( $C_{46}H_{68}O_{12}N^+$ , $\Delta$ 0.8 ppm) (Figure S1)
5	corresponding to dehydration of AZA4, and a second later-eluting product with $[M+H]^+$ at $m/z$
6	1082.7158 ( $C_{62}H_{100}O_{14}N^{+}$ , $\Delta$ 1.8 ppm) corresponding to 3- <i>O</i> -palmitoyIAZA4. The retention time
7	of the semi-synthetic AZA4 palmitic acid ester was identical to the later-eluting 3-O-
8	palmitoyIAZA4 peak in the Bruckless sample (Figure S2). The Bruckless sample product ion
9	spectra is consistent with the proposed structure and identical to that of the semi-synthetic
10	compound (Figure 3c), confirming that these peaks are acyl esters of AZAs. Based on confidence
11	criteria outlined by Schymanski et al. (2014) the data acquired confirms the identity of 3-O-
12	palmitoyIAZA4 in the mussel tissues by establishing the highest level of confidence (level 1). The
13	majority of the additionally identified AZA-esters described herein meet the requirements for
14	level 2b confidence based on the presence of diagnostic fragments observed in the
15	experimental data in comparison with this confirmed structure (Schymanski et al., 2014).
16	Provious quantitative analysis has shown that A7A4 is the most abundant of the non

Previous quantitative analysis has shown that AZA4 is the most abundant of the nonregulated AZAs in the Bruckless HP (McCarron et al., 2015). Table 1 summarizes the observed AZA4 esters detected in the HP tissue based on exact mass and the presence of three diagnostic AZA4 fragments (*m*/*z* 808.4630, 658.3950 and 448.3058). The most significant were consistent with 16:0, 18:1, 16:1, 17:0, 20:2 and 18:0 3-*O*-acyl esters, based on peak area, which is consistent with the fatty acid ester profiles observed for other acylated marine algal toxins in

- 1 mussel tissues (Aasen et al., 2006; de la Iglesia et al., 2013; McCarron et al., 2012; Torgersen et
- 2 al., 2008).
- 3 **Table 1.** Accurate masses (for [M+H]<sup>+</sup>), retention times and abundances (relative percentage to

4	3-O-palmitoylAZA4) of AZA4 and AZA9 fatty acid acyl esters in the Bruckless mussel HP tissue.
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				Peak Area			
				Relative to 3-O-	Neutral		
			Retention	palmitoylAZA4	Molecular	Accurate	$\Delta$
AZA	Fatty Acid	C:DB <sup>a</sup>	Time (min)	(%)	Formula	Mass	(ppm
	Tetradecaenoic	14:1	10.0	1.8	$C_{60}H_{93}O_{14}N$	1052.6671	0.2
	Tetradecanoic	14:0	12.0	8.1	$C_{60}H_{95}O_{14}N$	1054.6846	2.0
	Pentadecanoic	15:0	13.2	5.7	$C_{61}H_{97}O_{14}N$	1068.7003	2.0
	Hexadecatetraenoic	16:4	8.9	1.7	$C_{62}H_{91}O_{14}N$	1074.6544	2.9
	Hexadecadienoic	16:2	9.5	5.7	$C_{62}H_{95}O_{14}N$	1078.6840	1.4
	Hexadecaenoic	16:1	12.3	19.1	$C_{62}H_{97}O_{14}N$	1080.7000	1.7
	Hexadecanoic <sup>b</sup>	16:0	14.7	100.0	C <sub>62</sub> H <sub>99</sub> O <sub>14</sub> N	1082.7159	1.9
	Heptadecaenoic	17:1	13.6	6.3	$C_{63}H_{99}O_{14}N$	1094.7146	0.7
	Heptadecanoic <sup>b</sup>	17:0	15.9	12.3	$C_{63}H_{101}O_{14}N$	1096.7318	2.1
	Octadecatetraenoic	18:4	9.9	3.1	$C_{64}H_{95}O_{14}N$	1102.6862	3.3
AZA4	Octadecatrienoic	18:3	11.1	1.0	$C_{64}H_{97}O_{14}N$	1104.7001	1.7
	Octadecadienoic	18:2	12.7	3.3	$C_{64}H_{99}O_{14}N$	1106.7160	2.0
	Octadecaenoic <sup>b</sup>	18:1	15.6	20.2	$C_{64}H_{101}O_{14}N$	1108.7315	1.8
	Octadecanoic <sup>b</sup>	18:0	17.9	9.8	$C_{64}H_{103}O_{14}N$	1110.7471	1.8
	Eicosapentaenoic	20:5	10.4	3.0	$C_{66}H_{97}O_{14}N$	1128.7006	2.1
	Eicosatetraenoic	20:4	11.8	0.7	$C_{66}H_{99}O_{14}N$	1130.7136	-0.2
	Eicosadienoic	20:2	15.9	10.8	$C_{66}H_{103}O_{14}N$	1134.7475	2.1
	Eicosenoic	20:1	18.9	5.9	$C_{66}H_{105}O_{14}N$	1136.7626	1.6
	Docosahexaenoic	22:6	10.9	7.1	$C_{68}H_{99}O_{14}N$	1154.7168	2.6
	Docosapentaenoic	22:5	12.4	2.0	$C_{68}H_{101}O_{14}N$	1156.7310	1.3
	Docosadienoic	22:2	19.3	4.9	$C_{68}H_{107}O_{14}N$	1162.7778	1.2
	Tetradecanoic	14:0	12.7	5.7	$C_{61}H_{97}O_{14}N$	1068.6971	-1.0
	Pentadecanoic	15:0	14.0	1.9	$C_{62}H_{99}O_{14}N$	1082.7153	1.4
	Hexadecaenoic	16:1	13.0	5.5	$C_{63}H_{99}O_{14}N$	1094.7146	0.7
AZA9	Hexadecanoic <sup>b</sup>	16:0	15.5	7.6	$C_{63}H_{101}O_{14}N$	1096.7314	1.7
	Heptadecanoic	17:0	17.0	0.5	$C_{64}H_{103}O_{14}N$	1110.7446	-0.5
	Octadecaenoic <sup>b</sup>	18:1	16.5	2.2	$C_{65}H_{103}O_{14}N$	1122.7440	-1.0
	Docosahexaenoic	22:6	11.5	1.1	$C_{69}H_{101}O_{14}N$	1168.7315	1.7

5 <sup>a</sup> carbons:desaturation

6 <sup>b</sup> Two peaks were observed with identical product ion spectra. RT is reported for the second

7 peak, peak areas were combined for the two,  $\Delta < 3$  ppm for the first peak.

8 Note: Retention times of free AZA4 and AZA9 were 3.92 and 4.22 min, respectively

1	The regulated AZA1–3 analogues contain free hydroxy groups on C-20 and C-21 (Figure
2	1), while AZA4 has an additional hydroxy group on C-3. Exact mass searches were negative for
3	fatty acid esters of the regulated AZAs, such as the 16:0 acyl ester of AZA1 ( $[M+H]^+$ $m/z$
4	1080.7346), in the HP tissue, suggesting that the C-20 and C-21 hydroxyl groups are resistant to
5	esterification with fatty acids in mussels, possibly due to steric hindrance. Several additional
6	AZAs are hydroxylated at C-3, including AZA7, -9, -11, -13, while AZA5, -8 and -13 possess C-23
7	hydroxy groups that could also undergo fatty acid esterification.

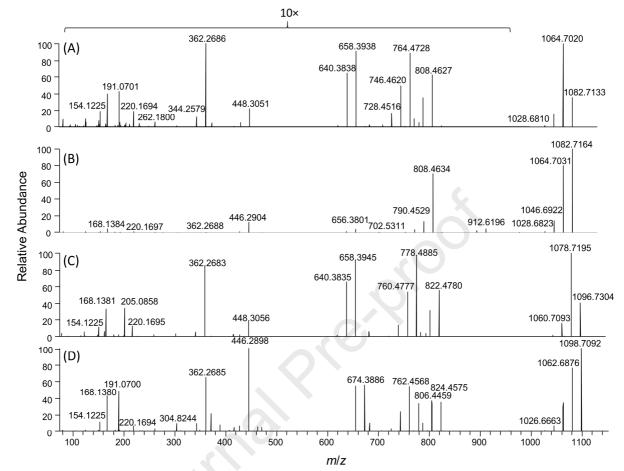
To screen for the presence of other potential AZA esters present in the Bruckless HP tissue, calculated MS/MS product ions for palmitate esters of the C-3 and C-23 hydroxylated AZAs were generated (Figure 4) based on Rehmann *et al.* (2008) and used as a basis for identification. The fragments labelled as Groups 1–3 are diagnostic for the various potential AZA acyl esters. Fatty acid esters were observed for AZA9, with trace levels of AZA5 and AZA13 esters also detected. After AZA4, the AZA9 fatty acid esters were most abundant.

	HO R1	Group 1 R <sub>2</sub> -H <sub>2</sub> O H		Group 2	H OH	O I	HN HH	168.1383
	[M+H] <sup>+</sup> <i>m</i> /z	R <sub>1</sub>	R <sub>2</sub>	R₃	R <sub>4</sub>	Group 1 ( <i>m/z</i> )	Group 2 ( <i>m/z</i> )	Group 3 ( <i>m/z</i> )
AZA4	1082.7138	OCOC <sub>15</sub> H <sub>31</sub>	н	н	н	808.4630	658.3950	448.3058
AZA5	1082.7138	н	н	н	$OCOC_{15}H_{31}$	n/a	912.6195	702.5303
AZA7	1096.7294	<i>OCOC</i> <sub>15</sub> <i>H</i> <sub>31</sub>	Н	CH₃	Н	822.4787	672.4106	462.3214
AZA8	1096.7294	Н	Н	CH₃	<i>OCOC</i> <sub>15</sub> <i>H</i> <sub>31</sub>	n/a	926.6430	716.5460
AZA9	1096.7294	OCOC <sub>15</sub> H <sub>31</sub>	CH₃	н	н	822.4787	658.3950	448.3058
AZA11	1110.7451	<i>OCOC</i> 15 <i>H</i> 31	CH₃	CH₃	Н	836.4943	672.4106	462.3214
AZA13	1098.7087	OCOC <sub>15</sub> H <sub>31</sub>	н	н	ОН	824.4580	674.3899	464.3006
AZA13	1098.7087	ОН	Н	Н	<i>OCOC</i> <sub>15</sub> <i>H</i> <sub>31</sub>	1080.6980	912.6195	702.5303

Figure 4. Potential palmitate (16:0) acyl esters of azaspiracids containing 3- and 23-hydroxy groups and the exact masses of their respective products ions (Groups 1 – 3) used to screen the HRMS data. Note that no esters of AZA7, -8 and -11 were detected in the HP tissue extract, and these entries are shown in italics.

5 The diagnostic product ions m/z 822.4780 ( $C_{47}H_{68}O_{11}N^+$ ,  $\Delta$  –0.8 ppm), 658.3945  $(C_{37}H_{56}O_9N^+, \Delta - 0.7 \text{ ppm})$  and 448.3056  $(C_{26}H_{42}O_5N^+, \Delta - 0.3 \text{ ppm})$  were used for identification of 6 7 the principal AZA9 fatty acid esters present (Table 1; Figure 5c). The majority of the other AZA esters observed were present in low abundance relative to the AZA4 esters. The most abundant 8 acyl ester peak for each AZA in the HP tissue extract were the palmitate (16:0) esters. The mass 9 10 spectra for 3-*O*-palmitoylAZA4, 23-O-palmitoylAZA5, 3-O-palmitoylAZA9 and 3-*O*palmitoyIAZA13 (Figure 5) detected in the HP tissue each displayed the diagnostic ions depicted 11 12 in Figure 4.





2

**Figure 5.** Product ion spectra of AZA acyl esters: (a) 3-*O*-palmitoylAZA4; (b) 23-*O*-palmitoylAZA5; (c) 3-*O*-palmitoylAZA9, and; (d) 3-*O*-palmitoylAZA13, obtained using DDA acquisition of the fractionated mussel hepatopancreas from Bruckless. The vertical scale from m/z 100–950 was expanded 10-fold to highlight diagnostic ions for (a), (c) and (d).

A very low abundance peak with m/z 1082.7134 ( $C_{62}H_{100}O_{14}N^{+}$ ,  $\Delta$  -0.4 ppm) having product ions with m/z 912.6196 ( $C_{53}H_{86}O_{11}N^{+}$ ,  $\Delta$  0.01 ppm) and m/z 702.5311 ( $C_{42}H_{72}O_7N^{+}$ ,  $\Delta$  1.0 ppm) was consistent with palmitate esterification of the 23-hydroxy group of AZA5 (23-*O*palmitoyIAZA5; Figure 5b). Product ions observed for 23-O-palmitoyIAZA5 are consistent with fragmentation of the ester-free structure including m/z 446.2904 ( $C_{26}H_{40}O_5N^{+}$ ,  $\Delta$  0.8 ppm) and m/z 656.3801 ( $C_{37}H_{54}O_9N^{+}$ ,  $\Delta$  1.0 ppm) (Rehmann et al., 2008). This was the only AZA5 fatty acid ester observed in the HP tissues. Given the low relative abundance of this peak (ca 0.5% of 3-*O*-

palmitoylAZA4), the metabolic pathway for esterification of AZA5, presumably for the 23-OH, appears to not be favoured in the mussels. However, the lower relative abundance of AZA5 compared to AZA4 in these tissues is also a consideration. The concentrations of AZA5 and AZA9 are both about four times lower than AZA4 in the Bruckless tissue (McCarron et al., 2015) suggesting that 23-OH esterification in the case of AZA5 is disfavoured. Esters of AZA8 and -11 were not detected in the HP tissue nor in the concentrated fractions obtained from the silica gel fractionation. This suggests that the methyl group on C-22 reduces the reactivity of 23-OH

## 8 of AZAs toward fatty acids *in vivo*.

AZA13 contains hydroxy groups at both C-3 and C-23. 3-*O*-palmitoylAZA13 was observed at very low levels in the HP tissue, consistent with the low levels of free AZA13 in the source tissues with a pseudomolecular ion of m/z 1098.7102 ( $C_{62}H_{102}O_{15}N^+$ ,  $\Delta$  1.3 ppm, Figure 5d). This acyl ester is evident by the presence of the product ions at m/z 824.4575, 674.3886, and 464.3015 ( $C_{46}H_{66}O_{12}N^+$ ,  $\Delta$  -0.6 ppm,  $C_{37}H_{56}O_{10}N^+$ ,  $\Delta$  -1.9 ppm, and  $C_{26}H_{42}O_6N^+$ ,  $\Delta$  1.8 ppm, respectively; Figure 5d)). 23-*O*-palmitoylAZA13 was not confirmed in the mussel tissue in these experiments, further indicating that 23-OH esterification may not be favored in the tissues.

16 Trace levels of 3-*O*-palmitoylAZA7 were detected in the 7:3 EtOAC:MeOH silica gel 17 fraction, co-eluting with 3-*O*-margaroylAZA4 based on the observed product ions of m/z18 822.4797, 672.4112 and 462.3218 (C<sub>47</sub>H<sub>68</sub>O<sub>11</sub>N<sup>+</sup>,  $\Delta$  1.2 ppm, C<sub>38</sub>H<sub>58</sub>O<sub>9</sub>N<sup>+</sup>,  $\Delta$  0.9 ppm, and 19 C<sub>27</sub>H<sub>44</sub>O<sub>5</sub>N<sup>+</sup>,  $\Delta$  0.9 ppm, respectively; Figure S3).

#### 20 **3.2.** Further Comparison with Synthetic PalmitoyIAZAs

The acylation reaction between palmitic anhydride and AZA9 resulted in a peak with identical retention time to the later-eluting of the two 3-*O*-palmitoylAZA9 peaks in the mussel

HP tissue. The product ion spectrum (Figure S4) was also identical with that observed for the same peak in the Bruckless sample, increasing the confidence level for the identification of 3-*O*palmitoyIAZA9. Acylation of AZA7 with palmitic anhydride also produced a peak with a product ion spectrum matching that expected for 3-*O*-palmitoyIAZA7 (Figure S5), confirming that it is possible to synthetically produce esters of this analogue.

6 Previously, semi-synthetic preparation of 16:0 AZA1 was successful using three different 7 acylation routes (de la Iglesia et al., 2014). The location of the fatty acid was not confirmed, but 8 the authors suggested that it occurred at the hydroxy group at C-20 due to that location being 9 less sterically hindered. Acylation of AZA1 with palmitic anhydride was performed in this work 10 using a method similar to one used by de la Iglesia et al. (2014) except that the reaction was 11 performed at room temperature rather than at 75 °C. The majority of AZA1 (approx. 70%) 12 remained intact after reaction at room temperature (Figure S6), but a small peak of palmitoyIAZA1 was generated with an  $[M+H]^+$  at m/z 1080.7355 ( $C_{63}H_{102}O_{13}N^+$ , 0.9  $\Delta$  ppm) and 13 retention time of 13.3 min, which was not present in the tissue extracts. The product ion 14 spectrum confirmed acylation on the C-20 or C-21 hydroxy group via a characteristic product 15 16 ion at m/z 928.6499 (C<sub>54</sub>H<sub>90</sub>O<sub>11</sub>N<sup>+</sup>,  $\Delta$  –1.0 ppm) along with other AZA1 diagnostic product ions 17 (Figure S6). Given that fatty acid esters of AZAs without C-3 or C-23 hydroxy groups were not 18 detected in mussels in this study, despite free AZA1-3 and -6 typically being among the major 19 AZAs in the samples, suggests that the C-20 and C-21 hydroxy groups may be unreactive toward 20 in vivo enzymatic acylation. These data highlight the importance of comparing products of 21 synthetic reactions with authentic naturally-incurred materials to verify their identities and 22 biological significance.

1 3.3. Treatment of mussel extract and semi-synthetic 3-O-palmitoyIAZA4 with NaIO<sub>4</sub>

2 Sodium periodate-mediated oxidative cleavage reactions provided additional structural 3 information on the AZA esters. The 20,21-diol present in most AZAs can be oxidatively cleaved with periodate, and this reaction has been used as a tool in structure elucidation of novel AZAs 4 5 (Kilcoyne et al., 2014a; Kilcoyne et al., 2018; Kilcoyne et al., 2014b; Rehmann et al., 2008). 6 However, AZAs containing fatty acid esters on C-20 or C-21 would not be expected to undergo 7 the cleavage reaction with periodate, given that there would no longer be a 1,2-diol present at 8 C-20 and C-21. The semi-synthetic 3-O-palmitoyIAZA4 reacted completely with periodate within 9 2 h, providing additional confirmation that esterification took place on the C-3 hydroxyl, and not on the C-20 hydroxyl. The AZA esters in the Bruckless extract were also cleaved by 10 11 periodate, confirming that the esters present in vivo formed via reaction with either the C-3 or 12 C-23 hydroxy group, while the C-20 and C-21 hydroxy groups had not been esterified, possibly 13 due to steric hindrance or enzyme specificity (Figure S7).

14 **3.4.** Presence of AZA esters in CRMs and other tissues

Additional mussel tissues and reference materials were evaluated for the presence of 15 fatty acid esters of AZA. As noted, the Bruckless mussel tissues analysed in this study were used 16 17 in the preparation of two CRMs (CRM-AZA-Mus and CRM-FDMT1). Consequently, the presence 18 of AZA fatty acid esters in these reference materials provides positively-characterized materials 19 that are available for use in other studies. 3-O-palmitoyIAZA4 and 3-O-oleoyIAZA4 (the 16:0 and 20 18:1 esters, respectively) were detected in all samples evaluated. CRM-FDMT1 and CRM-AZA-Mus (McCarron et al., 2015; McCarron et al., 2017) contained trace levels of additional AZA4 21 22 fatty acid esters (Table S2), primarily those that had a peak area relative to 3-O-palmitoyIAZA4

1	ratio of >10% in the Bruckless sample (Table 1). The content of 3-O-palmitoyIAZA4 was
2	estimated in the tissue extracts based on the peak areas of its $[M+H]^+$ ion in full scan mode
3	relative to that of AZA4 in the certified tissue of CRM-FDMT1 assuming the same molar
4	response factor, and is summarized in Table 2. These semi-quantitative estimates indicate
5	approximate levels ranging from 0.005–0.03 mg/kg of tissue in fresh whole mussel
6	homogenates, with higher concentrations in the freeze-dried and HP tissues at 0.15 mg/kg. This
7	represents 0.2 to 2.5% of the total free AZA1-4 concentrations by weight in the tissues. The
8	mussels from Killary Harbour that were implicated in the original AZA poisoning event (Satake
9	et al., 1998) contained only 3-O-palmitoyl- and 3-O-oleoyIAZA4 at trace levels, while the mussel
10	sample from Gouladoo, Bantry Bay, contained a higher content of AZA4 3-O-acyl esters
11	including 10 different acyl moieties, similar to those observed in high abundance in the
12	Bruckless HP, as well as 3-O-palmitoyIAZA-9.

**Table 2.** Estimated concentrations of AZA1–4 and 3-*O*-palmitoylAZA4 (i.e. 16:0 esters) in several
 AZA-incurred tissues assuming equivalent molar response to AZA4 in CRM-FDMT1.

Tissuo Samplo	Concentration (mg/kg)									
Tissue Sample	AZA1		AZA2		AZA3		AZA4		16:0 AZA4*	
FDMT1 <sup>+</sup>	4.10	±	1.13	±	0.96	±	0.42	±	0.15 ± 0.01	
	0.40		0.10		0.10		0.01		$0.15 \pm 0.01$	
CRM-AZA-mus†	1.16	±	0.27	±	0.21	±	0.17	±	0.02 ± 0.01	
CRIVI-AZA-IIIUS I	0.10		0.02		0.02		0.01		$0.02 \pm 0.01$	
HP tissue (Bruckless,	2.85	±	1.36	±	1.34	±	0.36	±	0.15 ± 0.01	
2005)	0.11		0.06		0.04		0.02		0.15 ± 0.01	
Gouladoo (2012)	3.64	±	1.26	±	0.60	±	0.15	±	0.03 ± 0.01	
00010000 (2012)	0.01		0.04		0.02		0.01		$0.05 \pm 0.01$	
Killary (1995)	1.13	±	0.39	±	0.17	±	0.07	±	$0.005 \pm 0.001$	
	0.12		0.04		0.02		0.01		$0.005 \pm 0.001$	

\* Calculated as AZA4 equivalents, † AZA1–3 concentrations and uncertainty based on certified
 values

1	Relative toxicities of AZAs based on in vitro assays have shown that methylation on C-22
2	increases toxicity, while hydroxylation on C-3 or C-23 reduces toxicity (Kilcoyne et al., 2018).
3	While the okadaic acid and dinophysistoxin acyl esters have lower toxicities relative to their
4	free toxins, there is limited information available on the toxicity of many acyl ester metabolites
5	of algal toxins in shellfish (Torgersen et al., 2005). The AZA fatty acid esters detected in Atlantic
6	European mussels in this work were of low relative abundance compared to the major free
7	AZAs, and were formed for C-3 or C-23 hydroxylated AZAs with only trace levels of esters for
8	AZAs with methylation at C-22, suggesting they are of reduced toxicological significance.
9	However, further work should investigate ester formation for other AZA analogues, as well as
10	AZA ester distribution across mussel tissues and variation across a larger sample set to
11	determine species and location differences. It should also be considered that AZA esters may
12	undergo hydrolysis during digestion, which presents a risk of exposure to higher levels of the
13	toxins than predicted based on the analysis of free toxins. Future investigations will require
14	optimization of the semi-synthesis reaction conditions for a broader range of AZAs in order to
15	improve quantitative measurements of AZA fatty acid esters and to provide toxicological
16	information.

17 In mussel feeding studies with *A. spinosum*, AZA1–2 appeared within 6 h and remained 18 at relatively constant concentrations, while the concentration of total metabolites continued to 19 increase for the duration of the feeding experiments (Jauffrais et al., 2012a; Jauffrais et al., 20 2012b; Salas et al., 2011). Acylation of okadaic acid has been shown to be involved in the 21 depuration of these toxins from shellfish (Rossignoli et al., 2011) and may have a similar 22 function with AZAs. Given that during shellfish feeding with *Azadinium* spp., AZA accumulation

appears to stabilize, there may be additional biotransformations such as *O*-acylation that could
contribute to an increase in total AZAs over time. Future studies evaluating the accumulation,
transformation and depuration of AZAs in shellfish should consider the significance of
additional metabolites such as fatty acid esters.

5

## 6 4. CONCLUSIONS

The presence of AZA fatty acid esters was confirmed in mussel tissues using LC-7 HRMS/MS and chemical reactions. These hydrophobic metabolites elute after previously 8 9 reported AZAs, but share common MS/MS fragmentation characteristics, with the majority of 10 esters observed being formed from AZA4 or AZA9 with trace levels formed from AZA5, AZA7 11 and AZA13. The primary fatty acid involved in esterification was palmitic acid (16:0), which was 12 confirmed based on semi-synthesis of 3-O-palmitoyIAZA4. The discovery of these acyl esters highlights an additional metabolic pathway for AZAs in shellfish, and shellfish feeding studies 13 would be important to study their accumulation, transformation and depuration kinetics. The 14 methodologies employed here can be used to evaluate a broader range of AZA-incurred 15 16 materials to compare ester profiles and the variation in esterification across different shellfish 17 species.

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6

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## Highlights:

- Fatty acid esters of azaspiracids were identified in mussels (Mytilus edulis) -
- Fatty acid esters of azaspiracids with hydroxy groups at C-3 were primarily observed -
- Fatty acid esters of regulated azaspiracids (AZA1, 2, -3) were absent -
- Structures were determined with LC-HRMS and confirmed by semi-synthesis of palmitate esters and periodate cleavage
- This work reveals an additional metabolic pathway for azaspiracids in shellfish -

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#### **Ethical Statement**

The coauthors state that the work submitted has not been submitted for publication elsewhere. The manuscript was prepared and submitted in accordance with the 'Ethics in publishing' and 'Ethical guidelines for journal publications' standards provided on the journal website.

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### **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: